

## FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

October 27, 2010

Sarah Cates, Treasurer Welch Allyn, Inc. Federal PAC 4341 State Street Road Skaneateles Falls, NY 13153 Response Due Date: December 1, 2010

Identification Number: C00363150

Reference:

October Quarterly Report (7/1/10-9/30/10)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 2 items:

1. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) prohibits a non-multicandidate political committee and its affiliates, from making a contribution to a candidate for federal office in excess of \$2,400 per election. However, your filings disclose that your committee may have satisfied the criteria set forth at 11 CFR §100.5(e)(3) for becoming a multicandidate committee.

Please be advised that once a political committee meets the certification requirements or becomes affiliated with an existing multicandidate committee, whether or not that political committee has certified its status as a multicandidate committee, it automatically attains multicandidate status and must file a FORM 1M (Notification of Multicandidate Status) within ten (10) calendar days. For further guidance on the multicandidate certification requirements, please see 11 CFR §§102.2(a)(3), 110.2(a)(1) and (2). A copy of FEC FORM 1M can be downloaded from the FEC website at <a href="http://www.fec.gov">http://www.fec.gov</a>, or requested through the FEC Fax line at (202) 501-3413.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If any contribution you made exceeds the limits, you must request a refund of the excessive amount or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund of the excessive amount.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

2. Schedule B supporting Line 23 of your report discloses one or more contributions to a candidate(s) for the 2010 Primary election; however, the funds were disbursed after the election date(s) (see attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign.

If any apparently impermissible contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If the contribution(s) in question should have been designated for debt retirement, you should amend your report to indicate "debt retirement," along with the year of election.

If you have made an impermissible contribution, you must request a refund or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund.

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding this impermissible activity, your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

Please nute, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prempt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1140.

Sincerely,

James McAllister

Campaign Finance Analyst Reports Analysis Division

## WELCH ALLYN, INC. FEDERAL PAC Page 4

Excessive Contribution to a Candidate

kecipieni vame	- Date	Amount	Election	Report
Friends of Dan Maffei	9/22/09	\$1,000.00	P-2010	2009 October Quarterly
Friends of Dan Maffei	10/14/09	-\$500.00	P-2010	2009 Year End
Friends of Dan Maffei	8/19/10	\$150.00	P-2010	2010 October Quarterly
Friends of Dan Maffei	9/24/10	\$1,000.00	P-2010	2010 October Quarterly
Friends of Dan Maffei	9/24/10	\$1,000.00	P-2010	2010 October Quarterly

## **Contribution After Election Date**

_ Recipient Name	Date	Amount	Election	Election State - Date
John D. Dingell for Congress	8/23/10	\$500.00	P-2010	MI - 8/3/10
				_
Friends of Dan Maffei	9/24/10	\$1,000.00	P-2010	NY - 9/14/10
Friends of Dan Maffei	9/24/10	\$1,000.00	P-2010	NY – 9/14/10